

*Corporation for National and Community Service*

*AmeriCorps Program Applicant  
Performance Measurement  
Toolkit*



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This toolkit is intended to help orient you to the kind of thinking you will have to do to complete the Performance Measurement portion of your AmeriCorps application. The toolkit is intended to provide you with helpful background information. However, relying on this information cannot guarantee an award, and the toolkit should not be referred to in your application.

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## Introduction

The Corporation for National and Community Service requires AmeriCorps Programs to submit performance measures as part of their application. Appendix A of this document describes the specific performance measurement requirements. Performance measurement will assist AmeriCorps programs in program planning, to establish minimum expectations at a national level to help measure program performance, and to ensure that grantees and sub-grantees are held accountable for their results.

On December 1, 2009, the Corporation released **national performance measures** based on activities and measures authorized in the Serve America Act. Fiscal year 2010 was the first pilot year for these measures. The Corporation will aggregate results from the national performance measures to demonstrate AmeriCorps impact in five priority areas that address issues of critical concern to the nation: Education, Clean Energy and Environment, Healthy Futures, Veterans, and Economic Opportunity. AmeriCorps programs that address any of these issues are encouraged to use the national performance measures if they align with the program activities.

### ***Purpose of Toolkit***

The purposes of this AmeriCorps Program Applicant Performance Measurement Toolkit are to introduce the concept of performance measurement, provide information on performance measurement as it applies to AmeriCorps programs, and in particular to help potential applicants for AmeriCorps funding satisfy the performance measurement requirements of the application process. This toolkit describes:

1. Performance measurement, outputs, intermediate outcomes, and end outcomes;
2. How the logic model can be used to define desired results and provides logic model examples;
3. What to consider when choosing methods and instruments for performance measurement;
4. How to complete a performance measurement worksheet;
5. An introduction to the national performance measures; and,
6. The minimum requirements the Corporation for National and Community Service expects AmeriCorps programs to report.

This toolkit includes appendices covering performance measurement requirements (Appendix A), logic model examples (Appendix B), a glossary of performance measurement terms (Appendix C), additional resources (Appendix D), and a performance measures worksheet in the eGrants format (Appendix E).

***AmeriCorps State and National Requirements***

The performance measurement expectations set forth by the Corporation's AmeriCorps Rule (effective November 17, 2008) describe the minimum requirements to which all AmeriCorps programs must adhere. AmeriCorps programs within a state must contact their state commission to determine if there are additional state performance measurement requirements. A list of state commissions can be found on the Corporation's web site:

<http://www.nationalservice.gov/about/contact/statecommission.asp>

Programs may want to measure more than the Corporation requires because measuring a variety of performance indicators benefits program planning and implementation. AmeriCorps programs are encouraged to complete as many performance measurement worksheets as are appropriate to represent their program's activities.

***A Note on Terminology***

The Corporation uses performance measurement terminology that best describes this method of determining program results. Other funding agencies may use different terms to describe these same performance measurement concepts. Please be aware of these differences when using other performance measurement resources.

## I. Performance Measurement Basics

### ***Performance Measurement***

Performance measurement is the process of regularly measuring the outputs and outcomes produced by your program. Performance measurement allows you to track both the amount of work done by your program and the impact of this work on your program beneficiaries.

Performance measurement is a useful tool for managing your program. It allows you to track the progress of your program towards larger goals, and to identify program



strengths and possible areas for improvement. Program staff and members should be actively involved in performance measurement activities to track outputs and outcomes. Ultimately, performance measurement information will ensure program accountability, and will help improve services and client outcomes.

### ***Results***

The 2005 and 2008 AmeriCorps Rules published in the Federal Register define the following results:

**Outputs** are counts of the amount of service that members or volunteers have completed, but do not provide information on benefits to or other changes in the lives of members and/or beneficiaries.

**Intermediate-outcomes** specify changes that have occurred in the lives of members and/or beneficiaries, but are short of a significant benefit for them.

**End-outcomes** specify changes that have occurred in the lives of members and/or beneficiaries that are significant and lasting. End outcomes are the long term impact of the service and may not always become evident until more than three years after the initial intervention.

Intermediate and end outcomes are the consequences of what a program does. Intermediate outcomes are expected to lead to achievement of end outcomes.

### ***Outputs***

Outputs refer to the amount of work or products completed and services delivered by your program. Examples include service hours completed by members and volunteers, neighborhood cleanup projects completed, and disaster preparedness training sessions conducted. Outputs answer the question, "How much work did we do?" but do not answer the question, "What changed as a result of our work?"



### ***Intermediate Outcomes***

Intermediate outcomes are changes or benefits experienced by service recipients and/or your members. These intermediate outcomes are the results you hope to achieve during your grant cycle. For example, the literature on tutoring students states that programs which have successfully helped low-achieving 3rd graders to read at grade level are more likely to see those students maintain grade-level reading as they go through middle school. Therefore, an intermediate outcome might be that 3<sup>rd</sup> grade students reading below grade level will improve their reading ability. This is one likely precondition for their continued success, resulting in students maintaining grade level reading ability through the 8<sup>th</sup> grade. Positive results for intermediate outcomes are a sign that your program is on track to achieve the end outcomes.

### ***End Outcomes***



End outcomes are the long-term positive changes that you hope your beneficiaries and/or members will achieve. These significant and lasting changes may not occur within the 3-year grant cycle. For example, 3<sup>rd</sup> grade students tutored in reading can lead to the end outcome of students maintaining grade level reading ability through the 8<sup>th</sup> grade. In this example, the end outcome cannot be measured within the grant cycle. Note that while some end outcomes can be measured within the 3-year grant cycle, the Corporation guidance does not require programs to measure end outcomes.

End outcomes address the issue or problem identified in the community need statement. They represent the ultimate reason for doing the service activity.

### ***Reasons to Conduct Performance Measurement***

Performance measurement responds to the need for program managers and funding agencies to systematically measure the effectiveness of program activities. Maximizing program effectiveness is critical in light of the limited resources available to meet the needs of the people and communities. Performance measurement provides decision makers with reliable information on the effectiveness of program activities in achieving intended outcomes.

To summarize, performance measurement allows you to:

- Clarify the purpose of your program and the way specific services contribute to achieving desired results.
- Document the actual results of program activities.
- Improve program performance by identifying program successes and areas for improvement.

## II. Program Logic Model

The program logic model provides a concise visual representation of activities that are the core of your program. Logic modeling can be used during the planning or development of your program to identify the results your program intends to achieve. The logic model is particularly valuable for developing a set of aligned performance measures for your primary activity (i.e., the activity produces the output; the activity and the outputs produce the outcomes). The logic model below consists of six components.

### *Logic Model Components*



- **Community Need:** The unmet need in your community that your activity will address.
- **Inputs:** Resources used to produce outputs and outcomes.
- **Activities:** What a program does with the inputs.
- **Outputs:** The products and services delivered (e.g., students tutored, trees planted).
- **Intermediate Outcomes:** Changes that have occurred in the lives of the beneficiaries and/or members that can occur within the timeline of the grant cycle.
- **End Outcomes:** Changes that have occurred in the lives of beneficiaries and/or members that are significant and lasting; however, most likely they will occur after the grant cycle.

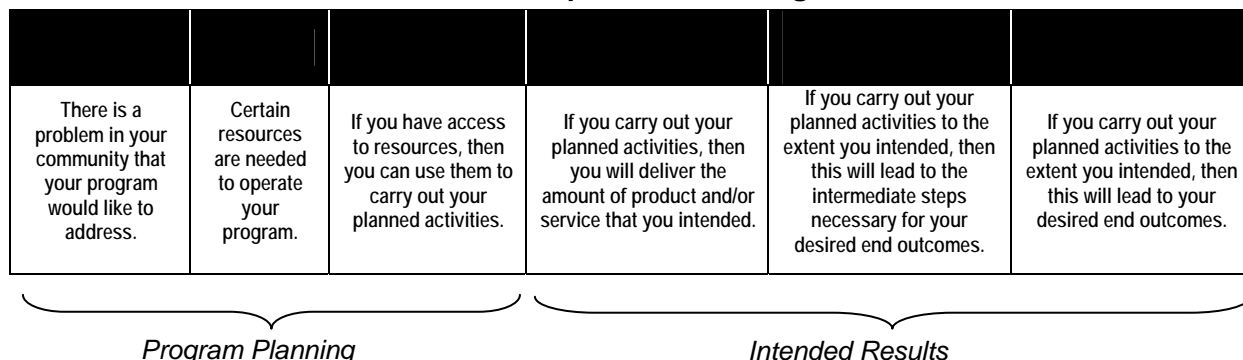
### *Logic Model Benefits*

Using the logic model will help your program:

- Communicate its potential value.
- Develop a set of aligned performance measures for your primary service activity.
- Clarify the results you are trying to achieve.
- Identify the key program elements that must be tracked to assess your program's effectiveness.
- Make clear program premises and make visible stakeholder assumptions.
- Improve program planning and performance by identifying the ways to measure program success and areas for improvement.

The figure below divides the logic model into two parts (program planning and intended results) and employs an “if A, then B” sequence. Read this logic model from left to right.

The "If-Then" Sequence of the Logic Model



### Considerations in Developing a Logic Model

- **Involve appropriate stakeholders in the process.** Developing a logic model as a group builds consensus by focusing on the values and beliefs influencing what your organization wants to accomplish and why.
- **Start with activities.** Work back and forth between the various components as you develop your logic model. However, keep in mind that there is no "one right way" to create a logic model. Some programs may want to start with their desired outcomes and develop the best activities to meet those outcomes, especially those of you with experience using logic models. It is likely that you will have more than one output, intermediate outcome and/or end outcome.
- **Keep it brief (one page).** Use the logic model to describe the core of your program to your reader. Include only those inputs and activities that are directly applicable to the intended changes. Use separate logic models for each major program activity.
- **Look at what will actually occur.** Look realistically at program results as well as the way the program is currently implemented, not how it functioned in the past. Choose those outputs, intermediate outcomes, and end outcomes that best describe the purpose of your program.
- **Keep it simple.** Come up with a model that reflects how and why your program will work.
- **Be ready to modify.** Since this is a snapshot of program activity at one point in time, keep in mind that you will need to refine your logic model over time.



## ***The Logic Model and Performance Measurement Worksheets***

Developing a logic model can assist you in building your performance measurement worksheets (for more information, see Section IV. Performance Measurement Worksheet). As you move through the logic model process, you begin to identify the key outputs and outcomes for core activities. Keep in mind the Corporation's reporting requirements for the type and number of outputs and outcomes. For each selected output and intermediate outcome, identify specific instruments you will need to track your progress. When selecting the outputs and intermediate outcomes you plan to measure, select those you believe are the most important to report to the Corporation.

If you have opted in to the National Performance Measures, where the national performance measure is only an output, you will still need to develop an intermediate outcome that aligns with the output measure you selected. A logic model can help you think through the possibilities (for more information, see Section V. National Performance Measures).

An example of a logic model is demonstrated on page 8. It includes sample indicators below each result. An indicator is the specific, measurable item of information that specifies progress toward achieving the result (for further discussion of indicators, see Section IV. Performance Measurement Worksheet). Page 8 demonstrates how the logic model helps to build the performance measurement worksheet. Appendix B includes additional logic model examples.

Work back and forth between the elements of the logic model and performance measurement worksheet to ensure that:

- Performance measurement components are aligned (i.e., there is a clear link from inputs to program service to intended outputs/outcomes).
- Performance measurement worksheets are specific and reflect activities that are feasible given the program resources (inputs), activities, and time frame.
- Outputs and outcomes relate to your activity.

## ***Approaches to Developing Your Logic Model***

There are a variety of ways to identify meaningful results for your logic model. For example, you can hold focus groups with clients to identify what they want and expect from the services. You can also find out what similar programs elsewhere have identified as outputs and outcomes and tailor those to your own program context and needs. In this way, you can benefit from the effective practices of other programs and minimize "reinventing the wheel."

**Logic Model Example with Sample Indicators: Northside Youth Development Center – Parental Skills Training**  
*(Indicators appear under each result.)*

<b>Community Need</b>	<b>Inputs</b>	<b>Activities</b>	<b>Outputs</b>	<b>Intermediate Outcomes</b>		<b>End Outcomes</b>
<i>In our community, we have identified the following unmet need:</i>	<i>In order to carry out our set of activities, we will need the following:</i>	<i>In order to address our need, we will carry out the following activities:</i>	<i>We expect that once carried out, these activities will produce the following evidence or service delivery:</i>	<i>We expect that if carried out, these activities will lead to the following intermediate changes:</i>		<i>We expect that if carried out, these activities will lead to the following end changes:</i>
There is a high drop-out rate; parents need help to support their children through high school	AmeriCorps members School district staff and teacher support Parenting Skills curriculum	AmeriCorps members will implement a semester-long dropout prevention program consisting of sixteen classes for parents and a support group.	Parental skills training class hours Parent participants	Parents demonstrate positive parenting skills	Decrease in student behavior problems	Decrease school drop-out rate of teenage students whose parents completed the parental skills program
			Instrument: Class roster	Instrument: Youth Survey	Data Source: School records	Data Source: School records
			1. Number of class hours 2. Number of participants	1. Number and percent of parents demonstrating skills	1. Number and percent of student behavior incidents	1. Number and percent of drop-outs

Logic Model Sources: Measuring Program Outcomes: A Practical Approach, United Way of America; W. K. Kellogg Foundation Evaluation Handbook, W.K. Kellogg Foundation; Performance Measurement: Getting Results, Urban Institute.

**Logic Model: Northside AmeriCorps Youth Development Center – Parental Skills Training**

Community Need	Inputs	Activities	Outputs	Intermediate Outcomes		End Outcomes
There is a high drop-out rate; parents need help to support their children through high school.	AmeriCorps members School district staff and teacher support Parenting Skills curriculum	AmeriCorps members will implement a semester-long dropout prevention program consisting of sixteen classes and a support group for parents.	Parental skills training class hours Parent participants Instrument: Class rosters	Parents demonstrate positive parenting skills Instrument: Youth Survey	Decrease in student behavior problems Data Source: School records	Decrease school drop-out rate of teenage students whose parents completed the parental skills program Data Source: School records

A logic model can be used to create a complete set of aligned performance measures. The Crosswalk chart below demonstrates where the information in the logic model can be placed in the eGrants Performance Measures section. The example of the logic model above, the Northside AmeriCorp program’s primary activity, Parental Skills Training, is used to demonstrate where the information can be transferred into the eGrants Performance Measures section on pages 10-12.

**Crosswalk: Transferring Information in the Logic Model to the eGrants Performance Measures Section**

Logic Model Component	eGrants Performance Measures Section
Community Need	<i>Not applicable (You do not need to include community need with the performance measures.)</i>
Inputs	<i>Not applicable (You do not need to include inputs with the performance measures.)</i>
Activities	See <b>Strategy to Achieve Results</b> , Briefly describe how you will achieve this result
Outputs	See <b>Result: Output</b> , Result Statement
Intermediate Outcomes	See <b>Result: Intermediate Outcome</b> , Result Statement

### AmeriCorps Performance Measures (Example in eGrants format)

Issue Area:  ▼

Service Category:

Primary       Secondary

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#### General Info

Performance Measurement Title:

Service Category:  ▼

#### Strategy to Achieve Results

Briefly describe how you will achieve this result:

Five AmeriCorps members will implement a drop-out prevention program consisting of sixteen classes for parents and an optional weekly support group. The activities will be designed to provide parents with the skills they need to keep their children in school. The eight-week sessions will be offered three times, beginning in October 2009, January 2010, and March 2010. The support group will be ongoing and meet weekly.

Result:  ▼

#### RESULT STATEMENT

1-2 Sentences stating the expected Result.

Parents will attend the eight-week parent skills/drop-out prevention class.

**INDICATORS:** A specific measurable item of information that specifies progress toward achieving a result.

Indicator:  ▼

**TARGETS:** Target Description (Max 250 characters)

100 parents will attend the eight-week parenting skills/drop-out prevention class.

Target # (number) or % (percent)

100	#	▼
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**INSTRUMENTS:** Specific tool to collect information (e.g., behavior checklist, tally sheet, attitude questionnaire, interview protocol) (Max 250 characters).

Class Roster and Attendance Log will be completed by the lead AmeriCorps team member on an ongoing basis for each of the three eight-week class sessions.

**PERFORMANCE MEASURE STATEMENT:** This is simply the expected result and target combined into one or two sentences.

100 parents will attend the eight-week parenting skills/drop-out prevention sessions.

**Result:** Intermediate Outcome ▼

**RESULT STATEMENT**

1-2 Sentences stating the expected Result.

Parents demonstrate positive parenting skills.

**INDICATORS:** A specific measurable item of information that specifies progress toward achieving a result.

Indicator: Percent of parents who demonstrate positive parenting skills, according to their children (e.g. helping with homework, listening to them, patient communication) ▼

**TARGETS:** Target Description (Max 250 characters)

80% of parents will receive a high parenting skill rating (75 of 100 points) in a survey completed by their adolescent children.

Target # (number) or % (percent)

80	%	▼
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**INSTRUMENTS:** Specific tool to collect information (e.g., behavior checklist, tally sheet, attitude questionnaire, interview protocol) (Max 250 characters).

One month after the end of the eight-week course, a confidential youth survey will be administered by the AmeriCorps team leader to the children (age 13-17) of the parents who completed the course.

**PERFORMANCE MEASURE STATEMENT:** This is simply the expected result and target combined into one or two sentences.

80% of parents who completed the course will show they have good parenting skills by scoring at least 75 points (of 100) on a survey completed by their children (age 13-18).

### III. Choosing Methods and Instruments for Performance Measurement

Various methods and instruments are used to collect data needed to determine if your program has achieved the desired results. After developing your logic models and identifying the results, the next step is to determine how you are going to collect the data to track the respective result.

Below are some general considerations for collecting data. ***If you are collecting data for a national performance measure***, see the National Performance Measurement Instrument Packets posted on the Resource Center:

<http://www.nationalservicerresources.org/national-performance-measures/home>.

#### ***Collecting Data for Outputs***

Outputs refer to the amount of service completed. The methods used to collect data involve documenting the work that has been done. Instruments used to document outputs include logs, attendance sheets, and activity forms. The key to collecting output data is to develop and maintain a recording system that collects the data in a consistent and organized manner.

#### ***Collecting Data for Outcomes***

Outcomes are the changes that occur as a result of service completed. As with collecting output data, the methods used depend on the type of information needed. Basically, there are two types of data sources to consider, existing data that have been collected by another agency and data that your program can generate through the performance measurement process.

#### ***Existing Data from Other Organizations***

Data from other agencies can be used to show the extent to which your program is meeting your targets for performance measures. Examples of such data are attendance records, classroom grades, crime statistics, and health information. The method of collecting these data needs to be planned carefully. It is important to determine how you will record the data that come from an external source (e.g., grades from report cards, health statistics from city or county data records).

Although there may be existing data that seem relevant to your program, the data may not be in a format you can use, or the data may not be accessible to you. For example, if you want to collect report card grades for your reading program, you must determine if the elementary schools give letter or numeric grades to the younger students. In some cases, rather than assigning letter or numeric grades, schools rate student success in ways such as by indicating competency level (e.g., “exceeds expectations,” “meets expectations,” or “needs improvement”).

Another issue to consider is confidentiality, which may restrict your access to data collected by other organizations. You also need to consider whether the data will be available when you need them.

### ***Program-Generated Data***

Programs may need to generate the data to measure outputs and outcomes. This entails adapting an instrument someone else has created or designing an instrument to collect specific data for performance measurement. In these cases, programs may choose to administer tests or surveys, conduct observations, or conduct interviews. Programs that decide to generate the data they need will find it necessary to allocate more time in the development stage. Instruments should be pilot tested and reviewed to avoid ambiguous language and other common errors. Despite the additional time needed for development, program-generated measures usually provide a greater degree of control over the data collected.

### ***Issues to Consider When Choosing Data Collection Methods and Instruments***

Whether you are measuring outputs or outcomes, the following issues should be considered when deciding on the method and type of instrument to use.

- **Identify who will administer the instrument.** Do they need training to administer the instrument?
- **Determine who will complete the instrument.** Will they be willing to complete the instrument? Will they be able to understand what the instrument is asking (e.g., given their reading level, language skills, age, etc.)?
- **Determine when you want the instrument to be administered.** Does your timeline conflict with other program activities or administrative responsibilities? Will the people who need to complete the instrument be available when the data are to be collected?
- **Determine if the data to be collected are accessible to you.** Do you need approval from individuals or the organization to get the data? Is confidentiality an issue?
- **Verify that the data you want to collect actually exist.** Do the data to be collected actually exist in a format or grouping that you need? Do data exist from previous years?
- **Determine when the data will be available.** Will the data be available to you when you need it?

### ***Instruments Record the Work Completed***

Performance measurement data and instruments are the records of the work performed by your program (outputs) and the changes resulting from this work (outcomes). Completed instruments and the “raw data” they contain provide the documentation that verifies the results you report to the Corporation and other stakeholders. Therefore, it is very important to keep all your raw data (i.e., completed instruments) well organized and in a safe place so they will not be lost or destroyed. Completed instruments may also contain confidential information about the beneficiaries you serve, so it is also important to keep them in a secure location, such as a locked filing cabinet.

## IV. Performance Measurement Worksheet

A strong performance measurement worksheet tells a brief and accurate story about what you intend to achieve for your beneficiaries, members, and community through your activity. It also lays a foundation for implementing performance measurement. The AmeriCorps performance measurement worksheet contains eight components.

1. Need to be addressed
2. Activity (your strategy to achieve results)
3. Result type and result statement
4. Indicators
5. Targets
6. Instruments
7. Performance measure statement
8. Prior years' data

*NOTE: The application worksheet contains all of the above components; however, when you enter information into eGrants, you will not need to enter “**need to be addressed**” and “**prior years' data**”.*

### ***Before Starting the Performance Measure***

**Review your logic model.** Before developing your performance measurement worksheet, complete a logic model for the activity. The logic model can serve as the basis for your worksheet. As you complete the worksheet, you can move back and forth between the logic model and the worksheet, borrowing components from the logic model to copy into the worksheet. See Section II—Program Logic Model in this toolkit for more information on completing a logic model.



### ***Steps to a Strong Performance Measurement Worksheet***

#### ***1. Describe the need the activity will address.***

Describe the unmet need in your community and briefly describe the community characteristics relevant to the need. Document the reason why your particular service activity is being conducted by citing research or government statistics, if appropriate.

Note that for participant development activities, the need will be centered on the members (e.g. need for advanced training), rather than the community.

#### ***2. Describe your activity.***

Describe how you will achieve this result. The activity statement of your program should describe who does what, when, where, how, and with whom.

**Activity Example**

Five AmeriCorps members will provide one-on-one tutoring in reading to twenty-five teacher-identified, third-grade students at Clarkstonburg Elementary School for forty-five minutes in the classroom one afternoon per week for twelve weeks.

**3. Identify the result type – output or intermediate outcome – and define the result.**

Identify the results you will focus on for this performance measurement worksheet. (For more information on requirements for results, see *Appendix A. Performance Measurement Requirements* in this toolkit).

For each result selected, define the result with a brief result statement. Below are definitions of the result types and statement examples. (Note that if you have chosen to opt in to the National Performance Measures, some results are pre-defined; see Section V. National Performance Measures).



- **Outputs** are counts of the amount of service members or volunteers have completed, but do not provide information on benefits to or other changes in the lives of members and/or beneficiaries.

**Output Example**

Teacher-identified, third-grade students at Clarkstonburg Elementary School will complete 12 weeks of tutoring.

- **Intermediate Outcomes** specify changes that have occurred in the lives of members and/or beneficiaries, but are short of a significant benefit for them.

**Intermediate Outcomes Example**

Students will improve reading ability.

**4. Identify an indicator.**

You will also need to identify an indicator for each result. The indicator describes the specific, measurable item of information that specifies progress toward achieving your result. It is a key step in determining the target. The following examples are indicators for specific results:

**Examples of Indicators:**

**Output Indicator:** Number of students tutored

**Intermediate Outcome Indicator:** Percent of students that improve test scores

*NOTE: In eGrants, **Indicator** is a drop down menu with options including “other.” If the options provided do not include the Indicator you are measuring, select “other” and describe the Indicator in the text box that will appear.*

### **5. Identify the targets.**

Identify the actual level or degree of success, as measured by your instrument, which you expect to achieve each year during the three-year funding cycle. Your targets indicate how many or how much will change for each year compared to baseline data.

Be realistic! You are the best judge of how much change to expect over a given time period as a result of your program’s activities. If this is the first time you are collecting data for this measure, usually the target is considered as a “guestimate.”

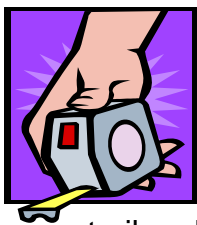
Note: In eGrants indicate whether it is a whole number of percent and then note how many people, served, things or service created or provided.

#### **A target for an intermediate outcome may look like this:**

Sixty-five percent of students who complete the 12 weeks of tutoring will increase their reading test scores by at least 15% from pre- to post-test.

### **6. Identify instrument and data source.**

The instrument and data source describe the means by which you will collect *observable evidence* on the extent to which your activity completed service or achieved its desired result. The data source identifies the origin of the information you plan on collecting. For example, if you are using existing data, the data source may be school records or police crime statistics. The instrument is the document or form you will use to track your activities and to determine impact (e.g., teacher survey, behavioral checklist).



Always connect your instrument and data source to your activity and result. For example, a questionnaire (instrument) that collects information from park visitors (data source) on park satisfaction would not measure increased trail safety (result). However, an Accident and Injury Log (instrument) that collects information from the park’s Accident and Injury Records (data source) can determine the safety condition of a trail and measure trail safety (result). Before identifying the data source and instrument, consider the feasibility of collecting the type of information associated with the instrument. For example, if you will need to gain access to data on pre- and post recycling rates for a specific geographic area, you will need to contact an agency or local organization that has been collecting this information, and is willing to provide it to you.

Identify your data source and instrument in the worksheet. In some cases, you may not have identified an instrument during the early planning of your program, but know where you would get the information. If so, state the data source where you will obtain your data; identifying the specific instrument to be used may need to come later.

Note that if you are collecting data for a national performance measure, there are specific instructions and requirements for the data source and instrument (see Section V. National Performance Measures).

**7. Restate the performance measure statement.**

The performance measure is a combined restatement of your result and target. It is the general statement of change coupled with what (who) and how many will change during the respective year.

**An example of a performance measure for an intermediate outcome may look like this:**

Sixty-five percent of students who complete the 12 weeks of tutoring will increase reading ability as indicated by a 15% increase in reading test scores.

**8. Provide data from previous years.**

If you have data from previous years, this is the opportunity to report it. This may be baseline data previously collected by your agency, or other information you used to establish the community need that your activity will address.

## V. National Performance Measures

On December 1, 2009, the Corporation released national performance measures based on activities and measures authorized in the Serve America Act. Fiscal year 2010 was the first pilot year for these measures. The Corporation will aggregate results from the national performance measures to demonstrate AmeriCorps impact in five priority areas: Education, Clean Energy and Environment, Healthy Futures, Veterans, and Economic Opportunity. AmeriCorps programs that address any of these issues are encouraged to use the national performance measures if they align with the program activities.

### ***Priority Areas***

The Education Priority Area refers to unmet educational needs within communities and, especially focuses on services that help disadvantaged children and youth to achieve success in school and prevent them from dropping out. Examples of national performance measures in the Education priority area include:

- Number of students who complete participation in an AmeriCorps education program
- Number of disadvantaged youth/mentor matches that are commenced by the AmeriCorps program
- Number of students with improved academic performance
- Number of AmeriCorps members who begin serving as teachers through a Teacher Corps program.

The Healthy Futures Priority Area refers to unmet health needs within communities including access to health care, disease prevention and health promotion initiatives, and health literacy. Examples of national performance measures in the Healthy Futures priority area include:

- Number of clients participating in health education programs
- Number of children and youth engaged in in-school or after school physical education activities with the purpose of reducing childhood obesity
- Number of clients enrolled in health insurance, health services, and health benefits programs

The Economic Opportunity Priority Area refers to unmet needs relating to economic opportunities for economically disadvantaged individuals including financial literacy, housing assistance, job training, and nutritional assistance. This priority area also includes indicators relating to creating greater opportunities for AmeriCorps members. Examples of national performance measures in the Economic Opportunity priority area include:

- Number of economically disadvantaged individuals receiving job placement services
- Number of housing units developed, repaired, or otherwise made available for low-income individuals, families, or people with disabilities
- Number of individuals receiving support, services, education and/or referrals to alleviate long-term hunger

- Number of AmeriCorps members that obtain a GED/diploma while serving in AmeriCorps or within one year after finishing AmeriCorps

The Clean Energy/Environment Priority Area refers to unmet energy-efficiency and environmental needs within communities. Examples of national performance measures in the Clean Energy/Environment priority area include:

- Number of tons of materials collected and recycled
- Number of housing units of low-income households and structures weatherized or retrofitted to significantly improve energy efficiency and reduce carbon emissions
- Number of acres of national parks, state parks, city parks, county parks, or other public lands and tribal communities that are cleaned or improved

The Veterans Priority Area refers to unmet needs of veterans, members of the Armed Forces who are on active duty, those that are honorably discharged, and family members of deployed military personnel. Examples of national performance measures in the Veterans priority area include:

- Number of veterans assisted in pursuing educational opportunities
- Number of military families receiving services and assistance
- Number of veterans engaged in service

For a complete list of national performance measures in all five priority areas, go to the Resource Center: <http://nationalserviceresources.org/national-performance-measures/home>.

## ***Requirements***

All national performance measures must have an aligned output and intermediate outcome.

The national performance measures include outputs and intermediate outcomes; however, most are outputs (counts of service). In some cases an output is paired with an intermediate outcome that you must measure, or there may be outcome options from which you can select. If you select a national performance measure that is an output without a prescribed intermediate outcome pairing, then you will need to identify an appropriate intermediate outcome (a change that occurred as a result of the service).

Choosing a set of national performance measures (output-intermediate outcome), or choosing a national performance measure output and developing an aligned intermediate outcome, satisfies the requirement for a set of aligned performance measures addressing the program's primary service activity or a significant activity.

## ***Opting In to the National Performance Measures***

Applicants have the choice whether to select national performance measures for their performance measurement system or to use applicant determined measures.

As a starting point in deciding whether or not to opt in to the national performance measures, consider whether the anticipated result of your relevant service activities align with one of the national performance measure indicators. Secondly, consider whether these service activities represent a key focus area for your AmeriCorps program. National performance measures should address activities in which AmeriCorps members spend most of their time, or that are central to your program.

Does the service activity you want to measure:

- Represent your program's main mission or purpose?
- Conform to key terms as defined in the authorizing legislation?
- Provide clients with a sufficient "dosage" of service delivered by well-trained members so as to produce intended results?

In measuring outcomes, you may need to consider:

- For those national performance measures that only have an output, can you identify and measure appropriate intermediate outcomes that align with the output?
- Can you establish benchmarks to determine meaningful change?
- Is baseline or comparison data available to measure change?

## ***Data Collection Tools***

Selecting a national performance measure means collecting data and reporting results as they are defined by the Corporation. A uniform measurement process will ensure that the Corporation can aggregate results across programs to demonstrate AmeriCorps impact in the five priority areas. The Corporation developed instrument packets for all national performance measures to help programs measure results. Each packet includes:

- Special requirements for some national performance measures that align outputs with outcomes (e.g. an output and outcome that must be measured together, two outputs that must be measured together, an output that requires selection of an outcome among a limited set).
- Definitions of key terms to help you determine if your program will provide services to the clients intended (e.g. "military family", "homeless", "low income") and to determine if the services you plan to provide align with the services the Corporation intended (e.g. "emergency assistance", "job training", "affordable housing").
- A list of additional considerations to help you determine if the measure is right for your program (e.g. ability to create and measure an outcome that is aligned with the national performance measure output; characteristics of service participants to be recruited and served, dosage of service, etc.).

- Data collection challenges specific to the measure and suggested strategies to address these challenges.
- Sample instruments, including instructions on how to prepare for data collection, how and when to collect data, how to aggregate data for reporting, , and next steps.

### ***Resources***

For more information about the national performance measures, consult the Notice of Funding Opportunities and application instructions at [www.americorps.gov](http://www.americorps.gov). Also see 2010 National Performance Measures: Background Information, Frequently Asked Questions, instructions for entering national performance measures in eGrants, and National Performance Measurement Instrument Packets for individual measures, located at the Resource Center: <http://nationalserviceresources.org/national-performance-measures/home>.

## Appendix A: Performance Measurement Requirements for AmeriCorps State and National Programs

### Overview

The 2010 AmeriCorps State and National Application Instructions state that AmeriCorps programs are required, minimally, to submit one set of aligned performance measures — one output, one intermediate outcome, and one end outcome – that capture the results of the program’s primary activity, or area of significant activity for programs whose design precludes identifying a primary activity.

- Place your set of aligned measures, an output, intermediate outcome, and end outcome you expect to achieve as a result of your activities in the “Rationale and Approach” section of the AmeriCorps eGrants application. (While you are not required to report on end outcomes, you must describe the long-term impact you expect to achieve.)
- In the “Performance Measures” section of the AmeriCorps eGrants application, enter the output and intermediate outcome measures, including the indicator, target, instrument, and the performance measurement statement for each measure. If you have opted in to the National Performance Measures, choose from the list of indicators provided and build your performance measure from there.

Programs are required to report on outputs annually, and to report on intermediate outcomes in the second and third year. Programs are not required to report on end outcomes.

*The Corporation encourages you to exceed the minimum requirements for developing performance measures. Furthermore, if you are applying through a state commission, they may set a higher minimum requirement; check with your state commission for state requirements.*

### **Creating a Set of Aligned Performance Measures for the Rationale and Approach**

A set of aligned performance measures contains one output, one intermediate outcome, and one end outcome. Each of these results should relate to the same service activity. In addition, each result flows sequentially, from output to intermediate outcome, and from intermediate outcome to end outcome. Appendix B contains examples of logic models with aligned results. The following steps describe how to develop an aligned set of performance measures.

**Step 1: Choose a primary or significant activity area.** What is a primary activity? This might include one or more of the following elements:

- A service activity that represents your AmeriCorps program's primary purpose and objectives
- An activity area where members put most of their effort and time
- An activity area in which all or most of the members participate
- An activity that addresses one of the Corporation's priority areas
- For intermediary organizations, a significant activity can be that which most or many sites are implementing.

**Step 2: (Recommended): Develop a logic model for your activity.** This process can:

- Help you clarify the results you are trying to achieve and identify the key program elements that must be tracked.
- Help you develop a set of aligned performance measures
- For information on a program logic model, see Section II of this Toolkit.

**Step 3: Identify an output to be measured from your primary activity or area of significant activity** and write a statement. What you count - the output – should be what you track for changes. For instance, if you select “students tutored” as your output, you will select intermediate outcomes and end outcomes that identify changes for the same students.

**Step 4: Identify an intermediate outcome** for the same primary activity or area of significant activity. This intermediate outcome should be aligned with your output. In other words, the intermediate outcome should measure intended changes in the same beneficiaries identified in your output. Write a statement that answers the question: What changes will occur for beneficiaries because of the activity? Intermediate outcomes are changes that support the eventual end outcomes.

**Step 5: Identify an end outcome** for the same primary activity or area of significant activity. This end outcome should link with your output and intermediate outcome, and should measure a significant and lasting change that your beneficiaries will experience. This change may not occur until after your grant cycle ends. Write a statement that responds to the question: what significant changes will occur for beneficiaries because of the primary or significant activity?

Often, a helpful way to approach this task is to begin with the end outcome and work back to the output.

**Step 6 (for the Performance Measurement Section of the eGrants application): Identify the indicators, targets and instruments for the output and intermediate outcome.** Once you have completed your logic model and aligned the results, begin to identify the indicators, targets and instruments for the output and intermediate outcome performance measures.

***Recommendations***

The guidelines above are the minimum requirements set by the Corporation. In addition, AmeriCorps programs are encouraged to develop as many performance measures (outputs and intermediate outcomes) as they feel appropriate to demonstrate the results of their most important activities. AmeriCorps State programs should contact their state commission to inquire about state guidelines.

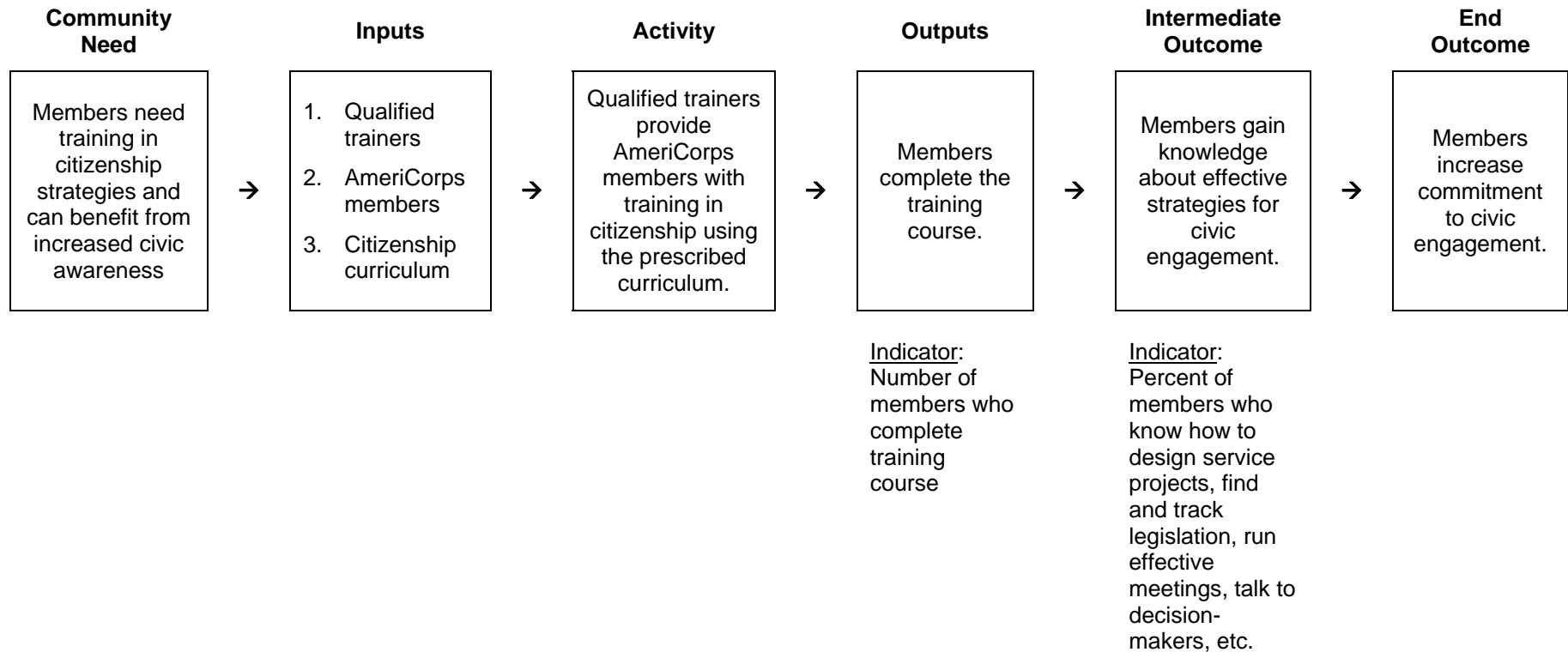
## Appendix B: Examples of Program Services Described in Logic Models

(Indicators appear under each result)

### ***Participant Development:***



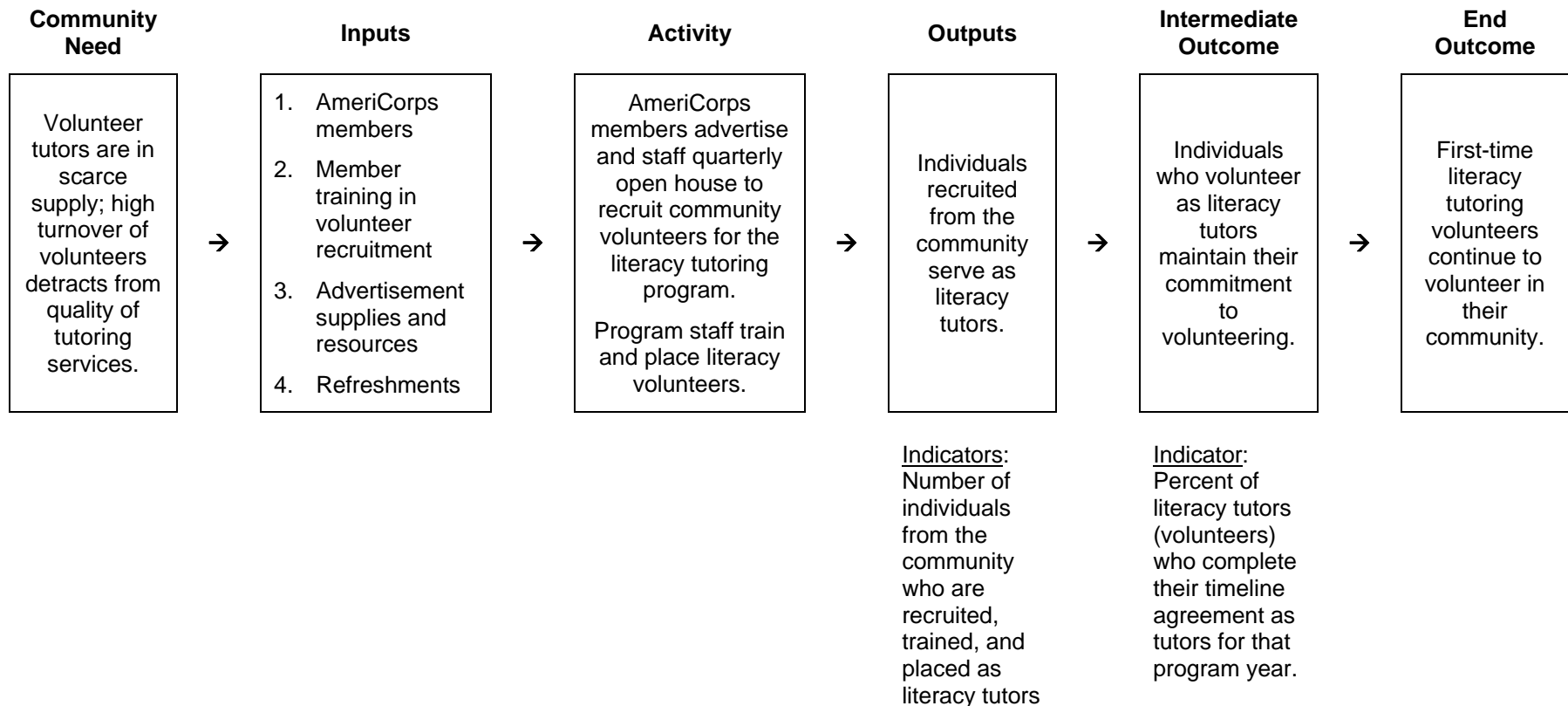
Nineteen AmeriCorps members will be trained by a qualified trainer (trained staff person or professional trainer) in ten strategies of effective citizenship using the curriculum, “A Facilitators Guide to Effective Citizenship through AmeriCorps” during their in-service orientation week and half-day trainings once per week for five weeks.



**Strengthening Communities:**



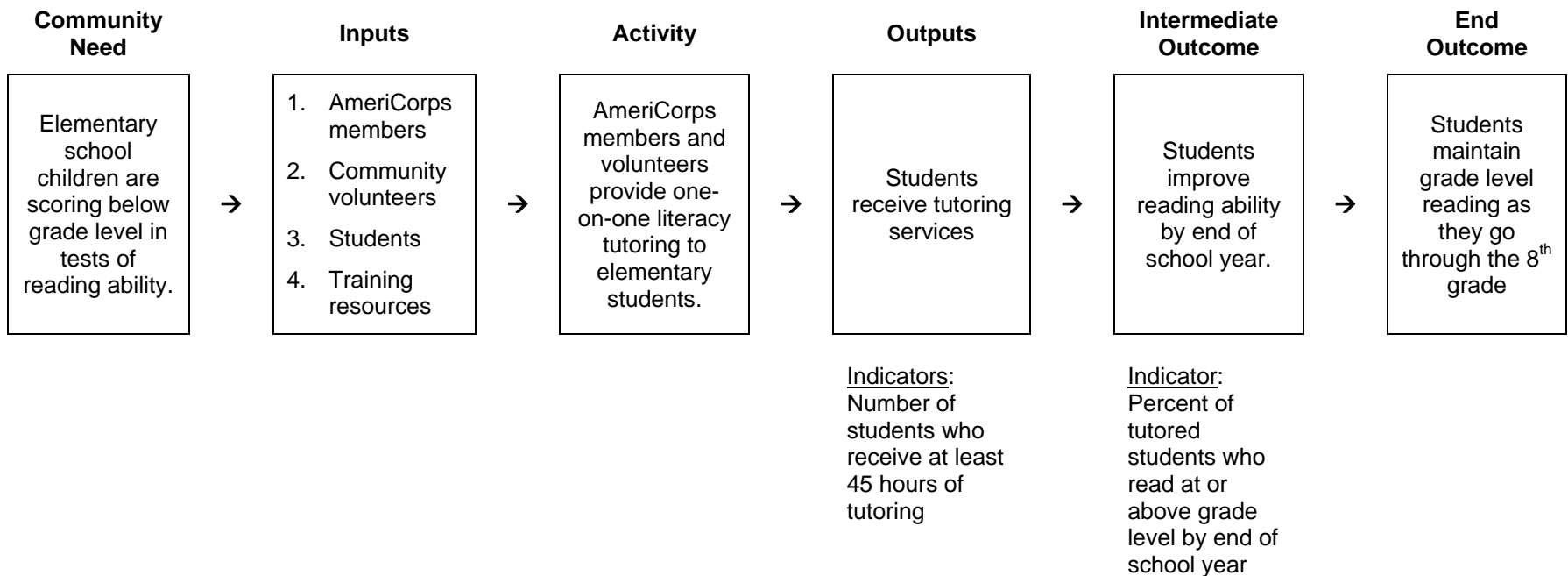
Twenty AmeriCorps members will engage in volunteer recruitment by supporting the quarterly open house for community literacy volunteers. Members will place advertisements in the local newspapers and other media outlets, as well as word-of-mouth, to inform the community about quarterly open house events. AmeriCorps members will also staff these open house events, serve refreshments, and inform community members about opportunities to serve as literacy tutors at local elementary schools. Members will record contact information for participants who express interest in volunteering. Program staff will be responsible for volunteer training and placement.



**Needs and Service Activities—Education:**



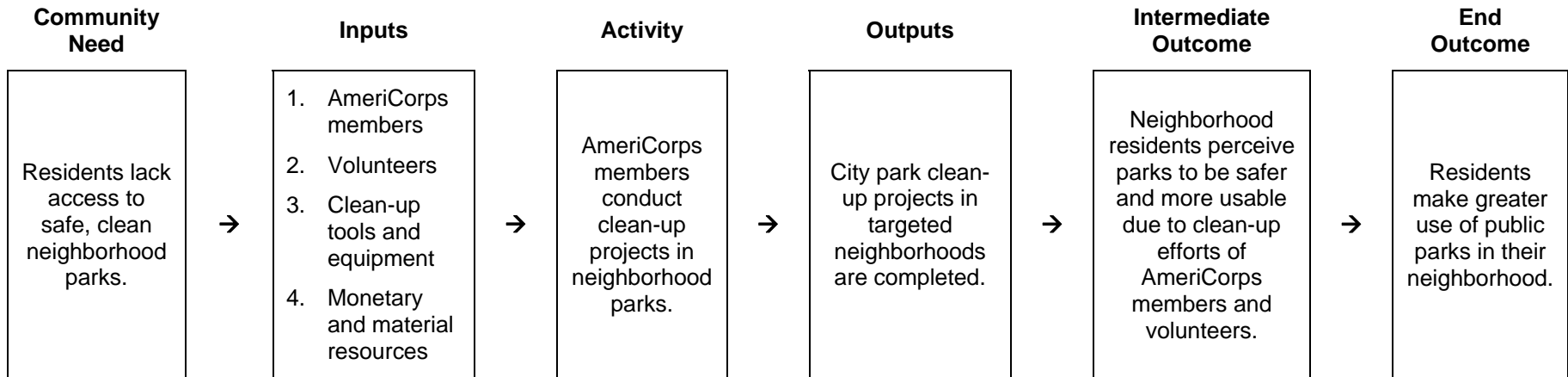
Twenty-five AmeriCorps members and fifteen volunteers will provide one-on-one literacy tutoring to 160 third grade students identified as reading one-two grades below their grade level. Tutoring will occur three days per week for one school year (thirty weeks) at four elementary schools. Each student will be provided with one hour of tutoring three days per week for 30 weeks.



**Needs and Service Activities—Environment:**



Ten AmeriCorps members and fifty volunteers will work six-person crews to conduct ten neighborhood clean-up projects to improve the safety and usability of neighborhood public parks over a nine month period. Clean-up activities will include removing trash and debris, planting trees and shrubs, repainting fences and walls (to cover graffiti), and repairing broken playground equipment.



Indicator:  
 Number of acres of national parks, state parks, city parks, county parks, or other public lands and tribal communities that are cleaned or improved.\*

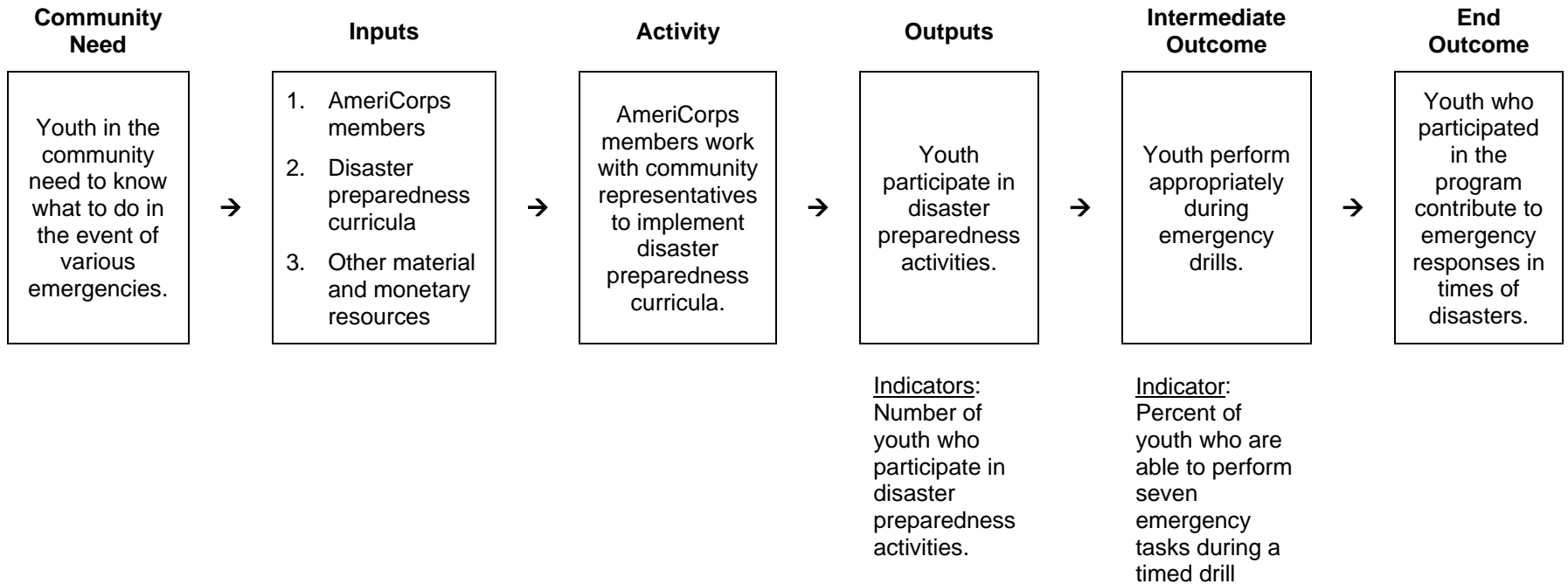
Indicator: Percent of neighborhood residents who report that they believe the parks in their neighborhoods are safer and more usable due to clean-up efforts of AmeriCorps members and volunteers.

\*National performance measure under Clean Energy/Environment Priority Area

**Needs and Service Activities—Disaster Preparedness and Relief:**



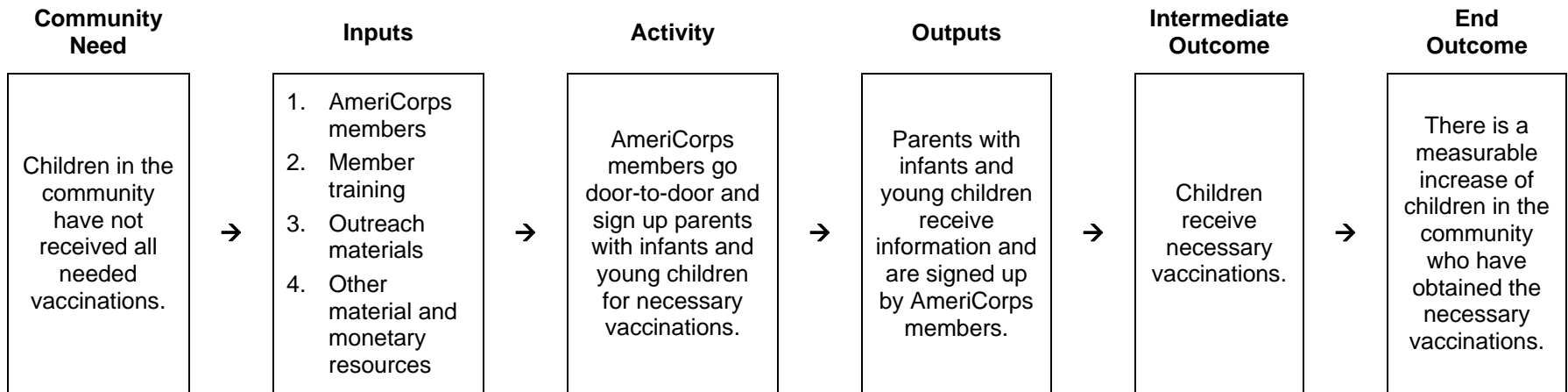
Twenty AmeriCorps members, serving at five sites and working in teams of four, will work with representatives of local schools, after school programs, and other youth-serving organizations to implement disaster preparedness curricula and programs for elementary and middle school-aged youth.



**Needs and Service Activities—Other Human Needs:**



Fifteen AmeriCorps members will conduct outreach to residents in medically underserved neighborhoods, signing up parents with infants and young children for appointments at the community clinic to receive necessary vaccinations. Members will distribute brochures containing information on the importance of childhood vaccinations, and encourage parents to bring their infants and young children to the local community clinic for free or reduced-cost vaccinations. Parents will receive up-to-date record of children’s vaccinations, which will facilitate the enrollment of these children in kindergarten and elementary school.



Indicator:  
Number of clients to whom information on health insurance, health care access, and health benefits programs is delivered.\*

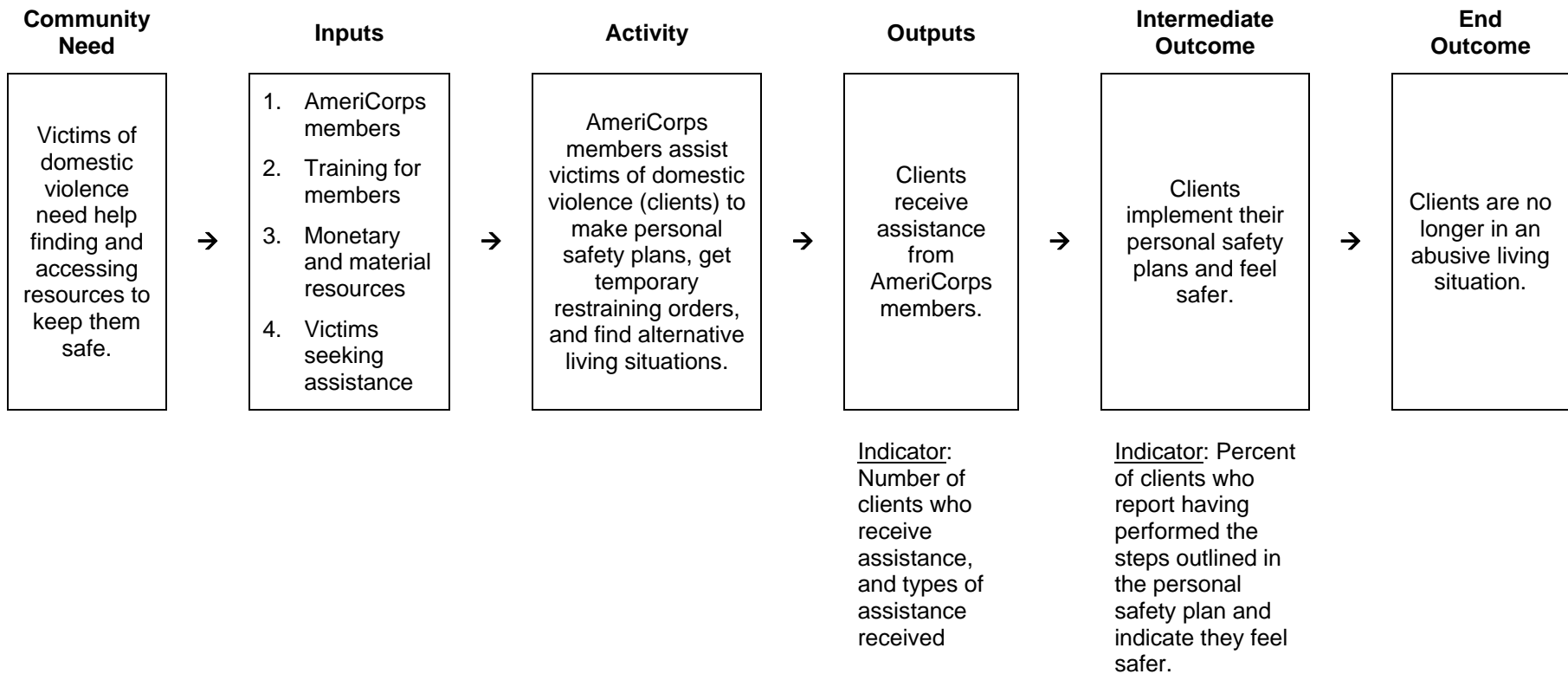
Indicator:  
Number of children who receive vaccinations

\*National performance measure under Health Futures Priority Area

**Needs and Service Activities—Public Safety:**



Over the course of one year, fourteen members will help victims of domestic violence to make personal safety plans, get temporary restraining orders, and find alternative living situations. Members will guide clients through the steps to develop a personal safety plan. Members will help clients complete the paperwork to file a request for a temporary restraining order. Members will match clients with openings at the local shelter for battered women. Members will also follow up with on clients' to address their needs for at least six weeks following initial contact.



## Appendix C: Glossary of Performance Measurement Terms

**Activity:** What a program does with inputs to fulfill its mission.

**Primary Activity:** A service activity of AmeriCorps members that represents your program's primary purpose and objectives. The set of aligned performance measures should address a *primary* or *significant* area of activity for your program.

**Significant Activity:** A service activity that makes up a significant part of the program's service activities. Some programs do not have a primary activity, but rather engage in many different activities in different issue areas. The set of aligned performance measures should address a *primary* or *significant* area of activity for your program.

**Aligned Performance Measures:** See *Performance Measures*.

**Beneficiaries:** Those who benefit from community activities.

**Data Source:** Identifies the origin of the information you plan on collecting.

**Evaluation:** In-depth assessment of program effectiveness by means of rigorous scientific methods. This can include use of control groups and other techniques to determine what would have happened in the absence of the program.

**Indicator:** A specific, measurable item of information that specifies progress toward achieving a desired result.

**Input:** Resources your program uses to produce outputs and achieve outcomes. Examples include staff, members, volunteers, facilities, equipment, curricula, and money.

**Instrument:** Specific tool to collect information (e.g., behavior checklist, tally sheet, attitude questionnaire, interview protocol).

**Logic Model:** A diagram or chart that traces the flow from inputs to activities, outputs, and outcomes to demonstrate an aligned activity. A logic model employs an "if A, then B" way of thinking.

**Need:** The unmet need in your community that your activity will address.

**Performance Measure:** A statement containing a result, an indicator, and the target that is expected to be achieved toward this result over a given period of time.

**Aligned Performance Measures:** A set of aligned performance measures contains one output, one intermediate outcome, and one end-outcome. Each of these results is relating to the same service activity. In addition, each result flows sequentially, from output to intermediate outcome, and from intermediate outcome to end outcome.

**Applicant-Determined Performance Measure:** Outputs and outcomes that are identified by the program (vs. pre-determined national performance measures).

**National Performance Measure:** Common outputs and, in some cases, outcomes that are pre-determined by the Corporation. You must use these measures if you choose to participate in the National Performance Measures. For information on specific measures, see 2010 National Performance Measures: Background Information and National Performance Measurement Instrument Packets located at the Resource Center: <http://nationalservicerresources.org/national-performance-measures/home>.

**Performance Measurement:** The process of regularly measuring the outputs and outcomes produced by your program. Performance measurement allows you to track both the amount of work done by your program and the impact of this work on your program beneficiaries.

**Primary Activity:** See *Activity*.

**Result:** The outputs and outcomes you intend to track for a particular activity.

**Outputs** are counts of the amount of service that members or volunteers have completed, but do not provide information on benefits to or other changes in the lives of members and/or beneficiaries.

**Intermediate-outcomes** specify changes that have occurred in the lives of members and/or beneficiaries, but are short of a significant benefit for them.

**End-outcomes** specify changes that have occurred in the lives of members and/or beneficiaries that are significant and lasting. End outcomes are the long term impact of the service and may not always become evident until more than three years after the initial intervention.

**Significant Activity:** See *Activity*.

**Target:** The level of success a program expects to attain for efforts made over a given time period.

**Output example:** In the first year, 100 teen parents will participate in the high school dropout prevention program and attend all sessions.

**Intermediate outcome example:** After one year, 75% of those participants who attended all sessions of the dropout prevention program will have graduated or still be in school working toward graduation.

**Volunteer:** Volunteers are citizens or residents in the community who offer their time and talents to assist your AmeriCorps program. Volunteers differ from AmeriCorps members in that they are under no formal obligation to provide a specified amount of assistance (e.g., as measured by service hours), and do not receive a stipend, education award or health benefits.

## Appendix D: Performance Measurement Resources



Below is a list of resources recommended by the Corporation for National and Community Service for developing performance measurements. To access each of the online resources, type the underlined address into the address field of your web browser.

### **Web Sites**

Evaluation Toolkit: A User's Guide to Evaluation for National Service Programs

<http://nationalserviceresources.org/star/user-guide>

Harvard Family Research Project—After School Resources and Publications

<http://www.gse.harvard.edu/hfrp/projects/afterschool/resources.html>

Online Evaluation Resource Library

<http://oerl.sri.com/>

United Way of America, Outcome Measurement Resource Network—Resource Library

<http://www.liveunited.org/outcomes/library/pgmomres.cfm>

Urban Institute Report on the Corporation's performance measurement

[http://nationalservice.gov/pdf/outcome\\_indicators.pdf](http://nationalservice.gov/pdf/outcome_indicators.pdf)

W.K. Kellogg Foundation Evaluation Handbook

<http://www.wkkf.org/knowledge-center/resources/2010/W-K-Kellogg-Foundation-Evaluation-Handbook.aspx>

W.K. Kellogg Foundation Logic Model Development Guide

<http://www.wkkf.org/knowledge-center/resources/2006/02/WK-Kellogg-Foundation-Logic-Model-Development-Guide.aspx>

### **Books**

Hatry, Harry P. 1999. *Performance Measurement: Getting Results*. Urban Institute Press: Washington, D.C.

United Way of America. 1996. *Measuring Program Outcomes: A Practical Approach*. United Way of America: Alexandria, VA. (To order, call 1-800-772-0008.)

The Corporation also encourages programs, when needed, to seek the help of local or regional professionals to perform and oversee performance measurement activities. This includes the development of a plan that encompasses the collection of and reporting on outcome data that will be used to improve program quality.

## Appendix E: Entering Your Performance Measures into eGrants

This appendix will walk you through the creation of performance measures in eGrants. The shaded boxes indicate the areas that will need to be completed/selected in eGrants.

→ Performance Measures

Click on “Performance Measures” in the left menu to begin.

Note that before you begin entering your performance measures, you will need to do the following:

- Click one or more of the “SAA Characteristics”: encore program, rural, urban, or none of the above.
- Select one or more of the five priority areas and check whether or not you will be using a national performance measure.
- Complete the Member Service Years (MSY) Chart.

*To begin entering your performance measures, click on the “add a service category” link from the Performance Measures page.*

Service Categories and Performance Measures: [add a service category](#)

Issue Area:

Select an Issue Area...



*(NOTE: In eGrants, **Issue Area** will be a drop down menu. The Issue Area you select will determine the Service Category options in the next step.)*

Service Category:

Select a Service Category...



*(NOTE: In eGrants, **Service Category** will be selected from a pop-up menu. The service category you select will determine the Indicator drop-down options in later steps.)*

Primary

Secondary

*(NOTE: If this is the first or only Service Category you select, eGrants will automatically check “Primary” to indicate this is your **primary** activity. To select more than one Service Category, simply click the “add a service category” link. Once you have more than one Service Category, you can choose which activity is the primary, secondary, or neither if three or more Service Categories are identified. Only one Service Category can be indicated as the primary, and one as the secondary.)*

Performance Measures: [add a performance measure](#)

[add a national performance measure](#)

*NOTE: Click on “add a performance measure” or “add a national performance measure” to begin writing your measures. If you have not opted in to the national performance measures, you will only get one option: “add a performance measure.”*

*If you have opted in and you select “add a national performance measure,” the priority areas that you selected earlier will be displayed. Choose the priority area to begin.*

---

### General Info

Performance Measurement Title:

*(NOTE: Give this performance measure a title—usually 3-4 words that describe the activity— and enter it in the text box.)*

---

Service Category addressed by this Performance Measure worksheet:

Select a Service Category...



*(NOTE: In eGrants, **Service Category** will be a drop down menu of choices based on your earlier identification of Service Categories; select one and continue.)*

---

Briefly describe how you will achieve this result (Max. 4,000 characters):

*(NOTE: Describe your service activity; keep the statement to one or two paragraphs.)*

Select a Result Type...



**Result:**

*(NOTE: You will need to select the **Result Type** that you intend to track for this activity – output or intermediate outcome– and click “Add New Results Section.” You should begin each aligned measure with an output.)*

---

**Result: Output**

### RESULT STATEMENT

1-2 Sentences stating the expected Result. *(NOTE: If you are using a national performance measure, the order in eGrants is slightly different. You will be prompted to select from a list of **indicators** first, and then asked to write the **result** statement.)*

**INDICATORS:** A specific measurable item of information that specifies progress toward achieving a result.

Indicator:  ▼

(NOTE: In eGrants, **Indicator** is a drop down menu with options including “other.” If the options provided do not include the Indicator you are measuring, select “other” and describe the Indicator in the text box that will appear. After you select the indicator, the full title of the national performance measure will appear along with instructions for the outcome.)

**TARGETS:** Target Description (Max. 250 characters)

Target # (number) or % (percent)

  ▼

(NOTE: In eGrants, you will need to write a number in the **Target** box from your target statement— and indicate whether it is a whole number or percent—for example, of how many things or services will be created or provided. Output targets often use a number (#) rather than a percent. If you are using a national performance measure, you will not have the “percent” option; you will be required to enter a number.)

**INSTRUMENTS:** Specific tool to collect information (e.g., behavior checklist, tally sheet, attitude questionnaire, interview protocol) (Max. 250 characters)

(NOTE: In the text box above, identify your **Instrument** by name, who will administer and complete it and how often. If you are using a national performance measure, check for guidance on appropriate instruments, including who should complete the instrument and how often: <http://nationalserviceresources.org/national-performance-measures/home>.)

**PERFORMANCE MEASURE STATEMENT:** Combine expected result and target into one or two sentences (Max. 1,000 characters).

(NOTE: Now that you have completed the output performance measurement information, do the same for your intermediate outcome. Begin by identifying the Result Type as “intermediate outcome” and continue responding to the prompts.)

Result:  ▼

(NOTE: You will need to select the **Result Type** that you intend to track for this activity – output or intermediate outcome— and click “Add New Results Section.”)

**Result: Intermediate Outcome**

**RESULT STATEMENT**

1-2 Sentences stating the expected Result.

*(NOTE: If you are using a national performance measure, you will be prompted to select from a list of **indicators** first, and then asked to write the **result** statement.)*

**INDICATORS:** A specific measurable item of information that specifies progress toward achieving a result.

Indicator:  ▼

*(NOTE: In eGrants, **Indicator** is a drop down menu with options including “other.” If the options provided do not include the indicator you are measuring, select “other” and describe the indicator in the text box that will appear. If you need to develop your own intermediate outcome to align with a national performance measure output, select “other” from the indicator drop-down list and use the text box that will appear.)*

**TARGETS:** Target Description (Max. 250 characters)

Target            # (number) or % (percent)

		▼
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*(NOTE: In eGrants, you will need to write a number in the **Target** box from your target statement— and indicate whether it is a whole number or percent—for example, of how many people will reach this outcome. If you are using a national performance measure, you will not have the “percent” option; you will be required to enter a number.)*

**INSTRUMENTS:** Specific tool to collect information (e.g., behavior checklist, tally sheet, attitude questionnaire, interview protocol) (Max 250 characters).

*(NOTE: In the text box above, identify your **Instrument** by name, who will administer it and how often. If you are using a national performance measure, check for guidance on appropriate instruments, including who should complete the instrument and how often: <http://nationalserviceresources.org/national-performance-measures/home>.)*

**PERFORMANCE MEASURE STATEMENT:** Combine expected result and target into one or two sentences (Max. 1,000 characters).